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DOCKET NO.: MSFT-2934/306552.01 **PATENT**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

David John Steeves **Confirmation No.: 3966**

Application No.: 10/792,254 **Group Art Unit: 2171**

Filing Date: March 3, 2004 **Examiner: Not Yet Assigned**

For: PROPAGATION OF INFORMATION ON AN INDEXED ADDRESSED NETWORK

DATE OF DEPOSIT: **September 22, 2004**

I HEREBY CERTIFY THAT THIS PAPER IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL, POSTAGE PREPAID, ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO THE UNITED STATES PATENT AND TRADEMARK OFFICE, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450.

TYPED NAME: **Michael J. Swope**
REGISTRATION NO.: **38,041**

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
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Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR § 1.56 and in accordance with 37 CFR §§ 1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 CFR § 1.56(b).

☒ In accordance with § 1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of the above identified application as set forth in § 1.491, before the mailing date of a

first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of request for continued examination under § 1.114, no additional fee is required.

☐ In accordance with § 1.97(c), this Information Disclosure Statement is being filed after the period set forth in § 1.97(b) above but before the mailing date of either a Final Action under § 1.116 or a Notice of Allowance under § 1.311, or before an action that otherwise closes prosecution in the application, therefore:

- ☐ Certification in Accordance with § 1.97(e) is attached; or
- ☐ The fee of **$180.00** as set forth in § 1.17(p) is attached.

☐ In accordance with § 1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311 but before, or simultaneously with, the payment of the Issue Fee, therefore included are: Certification in Accordance with § 1.97(e); and the submission fee of **$180.00** as set forth in § 1.17(p).

☒ Copies of reference numbers **1-7** listed on the attached Form PTO-1449 are enclosed herewith.

☐ Copies of reference numbers - on the attached Form PTO 1449 are not required to be submitted pursuant to 37 CFR § 1.98(a)(2)(i).

- ☐ Copies of references - are not being submitted because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application number , filed for which a claim for priority under 35 U.S.C. § 120 has been made in the instant application.

☐ The relevance of those listed references which are not in the English language is as follows:

There are no listed references which are not in the English language.

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050.

This form is submitted in duplicate.

Date: **September 22, 2004**

Michael J. Swope
Registration No. **38,041**

WOODCOCK WASHBURN LLP
One Liberty Place - 46th Floor
Philadelphia, PA 19103
Telephone: (215) 568-3100
Facsimile: (215) 568-3439

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Form PTO-1449 Modified List of Patent and Publications Cited by Applicant (Use several sheets if necessary) U.S. Department of Commerce Patent and Trademark Office	**Docket No.** MSFT-2934/306552.01	**Application No.** 10/792,254
	Applicant David John Steeves	
	Filing Date March 3, 2004	**Group** 2171
	Confirmation No. 3966	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	1	Bristow, S., "GIAC GSEC: Practical Assignment for SANS GSEC Version 1.2E Code Red Worm Invasion," *SANS Institute,* **2001,** 1-11
	2	Koblitz, N., "A Course in Number Theory and Cryptography," Second Edition, Graduate Texts in Mathematics, Springer, **1994,** pages 33-37
	3	Martin, M.V., "Overview of Worms and Defence Strategies," October 21, **2003,** 1-29
	4	Vogt, T., "Simulating and Optimising Worm Propagation Algorithms," September 29, **2003,** 1-32
	5	Weaver, N.C., "Warhol Worms: The Potential for Very Fast Internet Plagues," http://www.cs.berkeley.edu/~nweaver/warhol.html, **2001,** 9 pages
	6	Zou, C.C. et al., "Code Red Worm Propagation Modeling and Analysis," *Proceedings of the 9th ACM Conference on Computer and Communications Security,* Washington, D.C., November 18-22, **2002,** 10 pages
	7	Zou, C.C. et al., "Monitoring and Early Warning for Internet Worms," *Proceedings of the 10th ACM Conference on Computer and Communications Security,* Washington, D.C., October 27-30, **2003,** 10 pages

EXAMINER	**DATE CONSIDERED**

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